

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

James P. Beck  
Roy Hom  
Andrea Gailunas  
Barbara Jagodzinska  
Varghese John  
Michel Maillard

## 2. Name and address of receiving party(ies):

Pharmacia & Upjohn Company  
301 Henrietta Street  
Kalamazoo, MI 49007

and

Elan Pharmaceuticals, Inc.  
800 Gateway Blvd., S.  
San Francisco, CA 94080

RECEIVED

MAY 03 2002

TECH CENTER 1600/2900

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

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ORIGINALLY FILED

Execution Date: August 14, 2001, October 24, 2001, October 29, 2001, November 6, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

### A. Patent Application No.(s)

09/895,843

### B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anna M. Nelson  
Address: Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903



## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anna M. Nelson

Name of Person Signing

*Anna M. Nelson*  
Signature

November 8, 2001

Date

Total number of pages including cover sheet, attachments, and document: 5

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Mail documents to be recorded with required cover sheet information to:

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Director - U.S. Patent and Trademark Office  
Washington, D.C. 20231

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**·ASSIGNMENT**

WHEREAS, I, James P. Beck, residing at 8351 Canary Lane, Kalamazoo, MI 49009, made certain new and useful inventions and improvements for which I filed an application for Letters Patent of the United States on June 29, 2001, serial No. 09/895,843 which is entitled Compounds to Treat Alzheimer's Disease.

AND WHEREAS, Pharmacia & Upjohn Company, a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 301 Henrietta Street, Kalamazoo, MI 49007 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, I have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

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AND, for the consideration aforesaid, I do hereby agree that I and my executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore I covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 14 day of August, 2001.

James P. Beck  
James P. Beck

STATE OF Michigan )  
 ) ss.  
COUNTY OF Kalamazoo )

On this 14th day of August, 2001, before me personally appeared James P. Beck to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Debra J. Marquette  
Notary Public

DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 9/25/2004

**ASSIGNMENT**

WHEREAS, we, Roy Hom, residing at 82 Ina Court, San Francisco, CA 94112, Andrea Gailunas, residing at 1080 Eddy Street, #501, San Francisco, CA 94109, Barbara Jagodzinska, residing at 1485 Kentfield Ave., Redwood City, CA 94061, Varghese John, residing at 1722 18th Avenue, San Francisco, CA 94122 and Michel Maillard, residing at 219 Shorebird Circle, Redwood Shores, CA 94065, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on June 29, 2001, Serial No. 09/895,843 which is entitled Compounds to Treat Alzheimer's Disease.

AND WHEREAS, Elan Pharmaceuticals, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 800 Gateway Blvd., South San Francisco, CA 94080 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for good and sufficient consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries ~~which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents~~ and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

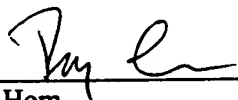
AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and

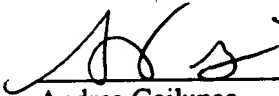
the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

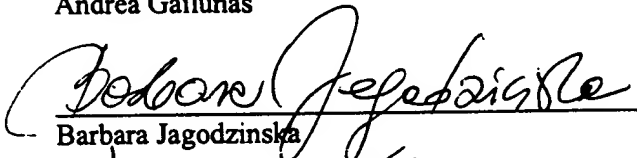
AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.


IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of

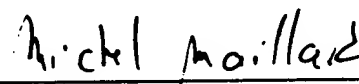
\_\_\_\_\_, 2001.

 6 Nov. 2001  
Roy Hom

 10.29.01  
Andrea Gailunas

 10-29-01  
Barbara Jagodzinska

 10/29/01  
Varghese John

 10/24/01  
Michel Maillard